





RDA的自我完善及对国家图书馆 RDA本地政策声明更新机制的启示

国家图书馆外文采编部 李菡





目录

DIRECTORY

01

02

03

04

RDA内容更新概要 RDA内容变化类型 RDA针对IFLA LRM的更新 NLC PS (FLR)更新机制探讨



PART
01
RDA内容更新概要





PART 01

RDA内容更新概要

负责机构

RDA指导委员会（RSC）

更新途径

RDA工具套件（RDA-Toolkit）在线更新

六次年度更新

2012年4月

2013年7月

2014年4月

2015年4月

2016年4月

2017年4月



更新方式

修改（release）和更新（update）

更新特点

小改动：快速灵活，一年数次

大变化：步骤严谨，一年一次



PART 01

RDA内容更新概要

RDA TOOLKIT
RESOURCE DESCRIPTION & ACCESS

RDA **TOOLS** **RESOURCES**

35: Related Objects

36: Related Events

37: Related Places

- Appendices

+ A: Capitalization

+ B: Abbreviations and Symbols

+ C: Initial Articles

+ D: Record Syntaxes for Descriptive Data

+ E: Record Syntaxes for Access Point Control

+ F: Additional Instructions on Names of Persons

+ G: Titles of Nobility, Terms of Rank, Etc.

+ H: Dates in the Christian Calendar

+ I: Relationship Designators: Relationships between a Work, Expression, Manifestation, or Item and Agents Associated with the Resource

+ J: Relationship Designators: Relationships between Works, Expressions, Manifestations, and Items

+ K: Relationship Designators: Relationships between Agents

L: Relationship Designators: Relationships Between Concepts, Objects, Events, and Places

+ M: Relationship Designators: Subject Relationships

+ Glossary

- **RDA Update History**

Introduction

+ 2012 April Update

+ 2013 July Update

+ 2014 April Update

+ 2015 April Update

+ 2016 April Update

+ 2017 April Update

RDA TOOLKIT
RESOURCE DESCRIPTION & ACCESS

RDA **TOOLS** **RESOURCES**

+ H: Dates in the Christian Calendar

+ I: Relationship Designators: Relationships between a Work, Expression, Manifestation, or Item and Agents Associated with the Resource

+ J: Relationship Designators: Relationships between Works, Expressions, Manifestations, and Items

+ K: Relationship Designators: Relationships between Agents

L: Relationship Designators: Relationships Between Concepts, Objects, Events, and Places

+ M: Relationship Designators: Subject Relationships

+ Glossary

- **RDA Update History**

Introduction

- 2012 April Update

2012 Update Summary

+ Instruction Archive

- 2013 July Update

2013 Update Summary

+ **Instruction Archive**

- 2014 April Update

2014 Update Summary

+ Instruction Archive

- 2015 April Update

+ 2015 Update Summary

+ Instruction Archive

- 2016 April Update

+ 2016 Update Summary

+ Instruction Archive

- 2017 April Update

+ 2017 Update Summary

+ Instruction Archive

About | Help | Feedback

2017 UPDATE SUMMARY

The table below lists all the significant revisions to RDA content made by the RDA Steering Committee included in the April 2017 release of RDA Toolkit. The table includes the RDA instruction number of the content that has changed and a brief description of the change that was made. At the end of the description are links to the archived instructions that were impacted by the update, and in some cases links to earlier revisions to the referenced instruction. The Instruction Archive for each update contains the full text of RDA as it existed prior to the release date stated above.

RDA	Change in April 2017 Update
1.8.1 RDA	Revised instruction
1.10.3 RDA	Revised instruction and examples
1.12 RDA	Added instruction
2.15.3.1 RDA	Revised second paragraph
2.15.3.3 RDA	Revised instruction and examples
3.4.5.2 RDA	Revised reference
3.4.5.3.2 RDA	Revised reference
3.4.5.14	Revised reference
3.11.1.4 RDA	Added example
3.12 RDA	Revised name of element
3.12.1 RDA	Revised title
3.12.1.1 RDA	Revised term and definition
3.12.1.2 RDA	Revised instruction
3.12.1.3 RDA	Revised instruction and examples
3.12.1.4 RDA	Revised term and definition



PART 01

RDA内容更新概要

增加 (added)

2.2.2.3.1 RDA

Added a new instruction for tangible resources.

2.2.2.3.2 RDA

Added a new instruction for online resources.

删除 (deleted)

6.28.1.9.2

Deleted instruction

6.28.1.9.3

Deleted instruction

6.28.1.9.4

Deleted instruction

6.28.1.10

Deleted instruction 2017/04



01

04

02

03



其他

2.2.4 RDA

Changed the preferred order of sources. 2013 Instruction: 2.2.4 2013/07

2.3.1.1 RDA

Clarified wording for sources. 2013 Instruction: 2.3.1.1 2013/07



修订 (revised)

7.11.3.1 RDA

Revised definition 2017/04

7.11.3.3 RDA

Revised examples 2017/04



PART
01

RDA内容更新概要

RDA四种更新方式涉及条款项数

时间	增加	删除	修订	其他	合计
2013年7月	100	28	30	86	244
2014年4月	78	41	80	339	538
2015年4月	132	76	249	14	471
2016年4月	26	5	50	18	99
2017年4月	14	5	70	1	90
合 计	350	155	479	458	1442



PART
01

RDA内容更新概要

2013-2017年修订各章涉及条款项一览

章节 时间	2013年7月	2014年4月	2015年4月	2016年4月	2017年4月
第0章	2	3	27	1	0
第1章	1	3	1	2	3
第2章	21	204	36	22	2
第3章	23	68	75	1	15
第5章	0	1	4	0	3
第6章	36	123	91	34	40
第7章	3	0	33	0	9
第8章	1	4	4	0	1
第9章	33	13	13	1	2
第10章	1	31	0	0	2
第11章	65	16	13	5	2
第16章	17	1	4	0	0
合计	203	467	301	66	79



PART
01

RDA内容更新概要

2013-2017年修订各章涉及条款项一览（续上表）

章节 时间	2013年7月	2014年4月	2015年4月	2016年4月	2017年4月
第18章	0	3	1	0	0
第19章	2	5	1	1	0
第20章	0	2	1	0	0
第23章	0	0	19	0	0
第24章	0	0	2	0	1
第25章	0	0	1	0	0
第27章	0	0	1	0	0
第29章	0	2	2	0	1
第30章	1	0	0	0	0
合计	3	12	28	1	2



PART
01

RDA内容更新概要

2013-2017年修订各章涉及条款项一览（续上表）

章节 时间	2013年7月	2014年4月	2015年4月	2016年4月	2017年4月
附录B	7	2	1	2	0
附录C	1	0	0	0	0
附录D	1	2	6	0	0
附录E	3	3	6	0	2
附录H	1	0	0	0	0
附录I	1	2	0	1	0
附录J	0	0	4	0	0
附录M	0	0	3	0	4
术语表	13	19	105	25	3



PART
02
RDA内容变化类型





PART 02

RDA内容变化类型

新条款、新术语、
新样例、新参见等
2013-2017年
间 共350项条款

1

增加 (added)

2

删除 (deleted)

删除条款、术语
、样例、参见等
2013-2014
删除条款号及内
容
2015以后，保
留条款号

3

修订 (revised)

修订条款、术语
、样例、参见
包含增、删、改

4

其他

改号 (renumbered)
阐释 (clarified)
更改 (changed)
扩展 (expanded)
参见更新 (updated) 等



PART 02

RDA内容变化类型·增加

样例1：原条款

- 2015 April Update
 - + 2015 Update Summary
 - Instruction Archive
 - 0: Introduction
 - 0.0 Purpose and Scope
 - 0.1 Key Features
 - 0.2 Relationship to Other Standards for Resource Description and Access
 - 0.3 Conceptual Models Underlying RDA
 - 0.3.1 General
 - 0.3.2 Alignment with FRBR
 - 0.3.3 Alignment with FRAD
 - + 0.4 Objectives and Principles Governing Resource Description and Access
 - 0.5 Structure
 - + 0.6 Core Elements
 - 0.7 Access Points
 - 0.8 Alternatives and Options
 - 0.9 Exceptions
 - 0.10 Examples
 - + 0.11 Internationalization

2015 UPDATE SUMMARY

The table below lists all the significant revisions to RDA content made by the Joint Steering Committee for the Development of RDA included in the 2015 release of RDA Toolkit. The table includes the RDA instruction number of the content that has changed and a brief description of the change that was made. At the end of the description are links to the archived instructions that were impacted by the update, and in some cases links to earlier revisions to the referenced instruction. The Instruction Archive for each update contains the full text of RDA as it existed prior to the release date stated above.

RDA	Change in April 2015 Update
0.2 RDA	Renumbered former 0.3.
0.2.1 RDA	Renumbered and revised former 0.3.1.
0.2.2 RDA	Renumbered former 0.3.2.
0.2.3 RDA	Renumbered former 0.3.3.
0.2.4 RDA	Added an instruction.



PART 02

RDA内容变化类型·增加

样例1：新条款



2015 UPDATE SUMMARY

The table below lists all the significant revisions to RDA content made by the Joint Steering Committee for the Development of RDA included in the 2015 release of RDA Toolkit. The table includes the RDA instruction number of the content that has changed and a brief description of the change that was made. At the end of the description are links to the archived instructions that were impacted by the update, and in some cases links to earlier revisions to the referenced instruction. The Instruction Archive for each update contains the full text of RDA as it existed prior to the release date stated above.

RDA	Change in April 2015 Update
0.2 RDA	Renumbered former 0.3.
0.2.1 RDA	Renumbered and revised former 0.3.1.
0.2.2 RDA	Renumbered former 0.3.2.
0.2.3 RDA	Renumbered former 0.3.3.
0.2.4 RDA	Added an instruction.

- 2015 April Update
 - + 2015 Update Summary
 - + Instruction Archive
- 2016 April Update
 - + 2016 Update Summary
 - Instruction Archive
- 0: Introduction
 - 0.0 Purpose and Scope
 - 0.1 Key Features
 - 0.2 Conceptual Models Underlying RDA
 - 0.2.1 General
 - 0.2.2 Alignment with FRBR
 - 0.2.3 Alignment with FRAD
 - 0.2.4 Alignment with FRSAD
 - + 0.3 Relationship to Other Standards for Resource Description and Access
 - + 0.4 Objectives and Principles Governing Resource Description and Access
 - 0.5 Structure
 - + 0.6 Core Elements
 - 0.7 Access Points



PART 02

RDA内容变化类型·增加

样例2：增加第23章及附录M

- 23: General Guidelines on Recording Relationships Between Works and Subjects	▲
23.0 Scope	
- 23.1 Terminology	
23.1.1 Explanation of Key Terms	
23.1.2 Work	
23.1.3 Subject	
23.1.4 Access Point	
23.1.5 Identifiable Subject System	
23.1.6 Relationship Designator	
23.2 Functional Objectives and Principles	
23.3 Core Elements	
- 23.4 Subject Relationship	
- 23.4.1 Basic Instructions on Recording Subject Relationships	
23.4.1.1 Scope	
- 23.4.1.2 Recording the Related Subject	
23.4.1.2.1 Identifier for the Subject	
23.4.1.2.2 Authorized Access Point Representing the Related Subject	
23.4.1.2.3 Description of the Subject of the Work	
- 23.5 Relationship Designator	
- 23.5.1 Basic Instructions on Recording Relationship Designators	
23.5.1.1 Scope	
23.5.1.2 Sources of Information	
23.5.1.3 Recording Relationship Designators	▼

20.2.1.3 RDA	Added, deleted, and re
23.0 RDA	Added an instruction.
23.1.1 RDA	Added an instruction.
23.1.2 RDA	Added an instruction.
23.1.3 RDA	Added an instruction.
23.1.4 RDA	Added an instruction.
23.1.5 RDA	Added an instruction.
23.1.6 RDA	Added an instruction.
23.2 RDA	Added an instruction.
23.3 RDA	Added an instruction.
23.4.1 RDA	Added an instruction.
23.4.1.1 RDA	Added an instruction.
23.4.1.2 RDA	Added an instruction.
23.4.1.2.1 RDA	Added an instruction.
23.4.1.2.2 RDA	Added an instruction.
23.4.1.2.3 RDA	Added an instruction.
23.5.1 RDA	Added an instruction.
23.5.1.1 RDA	Added an instruction.
23.5.1.2 RDA	Added an instruction.
23.5.1.3 RDA	Added an instruction.
24.7.1.1 RDA	Revised instruction.
24.8.1.1 RDA	Revised instruction.
25.1.1.3 RDA	Revised examples.
27.1.1.3 RDA	Revised examples.
29.6.1.1 RDA	Revised instruction.



PART 02

RDA内容变化类型·删除

样例1：删除条款号及内容 vs 仅删除内容，保留条款号，添加说明文字

2014年

原条款

- **6.30.1.5** General Instructions on Liturgical Works
 - 6.30.1.5.1** Types of Liturgical Works
 - 6.30.1.5.2** Liturgical Works Falling into More Than One Category
 - 6.30.1.5.3** Single Passages
 - 6.30.1.5.4** Works Intended for Private Devotion, Compilations of Hymns, Etc.
 - 6.30.1.5.5** Additions to the Access Point

新条款

- **6.30.1.5** General Instructions on Liturgical Works
 - 6.30.1.5.1** Types of Liturgical Works
 - 6.30.1.5.2** Categories Excluded from Types of Liturgical Works
 - 6.30.1.5.3** Construction of Authorized Access Points for Liturgical Works

2015年

原条款

3.6.2.1 Scope

Base material for microfilm, microfiche, photographic film, and motion picture film is the underlying physical material of a microfilm, microfiche, photographic film, or motion picture film resource.

新条款

3.6.2.1 Scope 2015/04

[This instruction has been deleted as a revision to RDA. For further information, see 6JSC/BL/16/Sec final.]



PART 02

RDA内容变化类型·删除

样例2：

原条款

- + Instruction Archive
- 2015 April Update
- + 2015 Update Summary
- Instruction Archive
 - 0: Introduction
 - 0.0 Purpose and Scope
 - 0.1 Key Features
 - 0.2 Relationship to Other Standards for Resource Description and Access
 - + 0.3 Conceptual Models Underlying RDA
 - + 0.4 Objectives and Principles Governing Resource Description and Access
 - 0.5 Structure
 - 0.6 Core Elements
 - 0.6.1 General
 - 0.6.2 **Section 1: Recording Attributes of Manifestation and Item**
 - 0.6.3 Section 2: Recording Attributes of Work and Expression
 - 0.6.4 Section 3: Recording Attributes of Person, Family, and Corporate Body
 - 0.6.5 Section 5: Recording Primary Relationships between Work, Expression, Manifestation,

1 [2]

Publication statement
Place of publication (if more than one, only the first recorded is required)
Publisher's name (if more than one, only the first recorded is required)
~~Date of publication~~

Distribution statement
Place of distribution (for a published resource, if place of publication not identified; if more than one, only the first recorded is required)
Distributor's name (for a published resource, if publisher not identified; if more than one, only the first recorded is required)
Date of distribution (for a published resource, if date of publication not identified)

Manufacture statement
Place of manufacture (for a published resource, if neither place of publication nor place of distribution identified; if more than one, only the first recorded is required)
Manufacturer's name (for a published resource, if neither publisher nor distributor identified; if more than one, only the first recorded is required)
Date of manufacture (for a published resource, if neither date of publication, date of distribution, nor copyright date identified)

Copyright date
Copyright date (if neither date of publication nor date of distribution identified)

Series statement

2.9 Distribution Statement LC-PCC PS D-A-CH MLA

CORE ELEMENT

Place of distribution is a core element for a resource in a published form if the place of publication is not identified. Distributor's name is a core element for a resource in a published form if the publisher is not identified. Date of distribution is a core element for a resource in a published form if the date of publication is not identified. Other sub-elements of distribution statements are optional.



PART 02

RDA内容变化类型·删除

样例2：

新条款

0.6.4 Conformance

0.6.5 Section 1: Recording
Attributes of
Manifestation and Item

0.6.6 Section 2: Recording
Attributes of Work and
Expression

0.6.7 Section 3: Recording
Attributes of Person,
Family, and Corporate

Publication statement

Place of publication (if more than one, only the first recorded is required)

Publisher's name (if more than one, only the first recorded is required)

Date of publication

Series statement

2.9 Distribution Statement LC-PCC PS D-A-CH 2015/04 MLA

2.9.1 Basic Instructions on Recording Distribution Statements

2.10 Manufacture Statement LC-PCC PS D-A-CH 2015/04 MLA

2.10.1 Basic Instructions on Recording Manufacture Statements

2.11 Copyright Date 2015/04 D-A-CH NLA PS LC-PCC PS MLA

2.11.1 Basic Instructions on Recording Copyright Dates



PART 02

RDA内容变化类型·删除

样例3： 2014年， 关于条约的 相关规则

涉及条款

01

RDA 0.6.6、RDA 5.3、RDA 6.19.2.7、RDA 6.19.2.8、RDA 6.22、
RDA 6.29.1.15、RDA 6.29.1.16、RDA 6.29.1.17等

02

例：RDA 6.22

原元素“条约等的签署方”
~~删除~~ → 现元素“条约的参与方”

03

记录条约的首选题名

惯用总题名 (Treaties, etc.) ~~×~~

条约的名称 ✓

04

构建代表条约的规范检索点

签署条约的政府/国际组织的规范名称+惯用总题名 (Treaties, etc.) ~~×~~

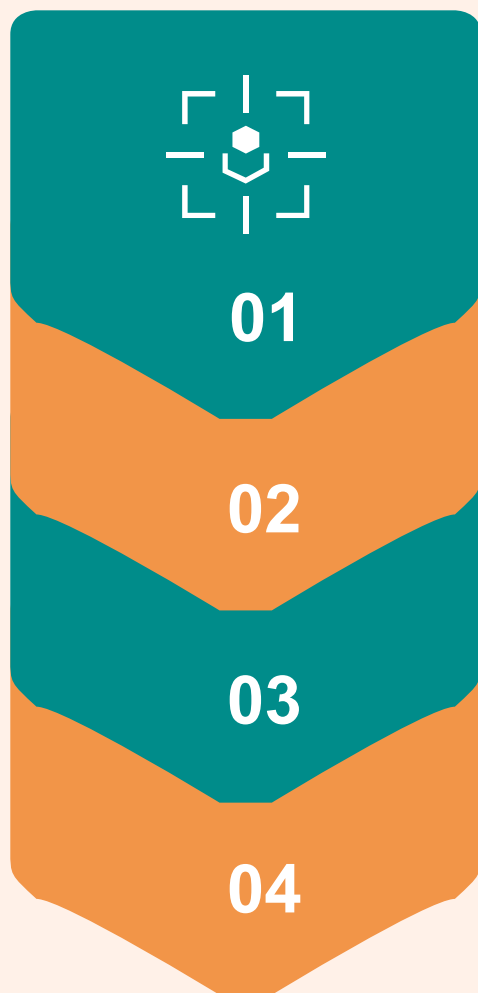
条约的首选题名，即条约的名称 ✓



PART 02

RDA内容变化类型·修订

样例1



RDA 6.2.2.4 原条款**仅**规定：“如果作品以相同语言的不同题名同时出版，则选择首次获得的资源的正题名作为首选题名。”

2013年，**增加**说明：“如果作品以不同语言同时出版，且原文语言不能确定，则选择首次获得的载体表现的正题名作为首选题名。如果同一载体表现中有不同语言版本（例如，以法语和英语发行相同文本的作品），则选则首选信息源上的正题名作为首选题名。”

2015年，**再次修订**“如果题名或题名形式所用的文字不是创建数据的机构首选的文字书写，应用6.2.2.7（题名以非首选文字书写）。如果原始版本的正题名不可用或原始版本没有正题名，且参考源中无原文语言的题名，应用6.2.2.6（原文语言题名未找到或不适用）。”

RDA 6.2.2.7 “如果题名或题名形式所用的文字不是创建数据的机构首选的文字书写，根据创建数据的机构采用的方案音译题名。”同时提供**交替规则**，“如果创建数据的机构首选语言中有固定的题名或题名形式，采用该题名或题名形式作为首选题名。”



PART 02

RDA内容变化类型·修订

样例2



原核心元素：

RDA 11.4.2 “会议等的日期”

RDA 11.4.3 “成立日期”

RDA 11.4.4 “终止日期”

现：

核心元素： RDA 11.4.2 “会议等的日期”

条件核心元素： RDA 11.4.3 “成立日期”

RDA 11.4.4 “终止日期”

RDA 11.4.5 “团体活跃期”



PART
03
RDA针对IFLA LRM的更新





PART 03

RDA针对IFLA LRM的更新

2010年
FRBR评估组启动
编制新模型

2016年2月
统一版初稿
(FRBR-LRM) 完成

2016年8月
更名为IFLA-LRM

2017年8月
正式版IFLA IRM (2017
年8月版) 诞生

2013年
FRBR统一版编辑组
(CEG) 在新加坡
成立

2016年5月1日
全球评审工作顺利
完成

2017年5月22日
正式发布IFLA LRM
(2017年3月版)



PART 03

RDA针对IFLA LRM的更新

建模方法：
实体分析法

模型主要内容：

5项用户任务；11个实体；
37项属性；36种关系



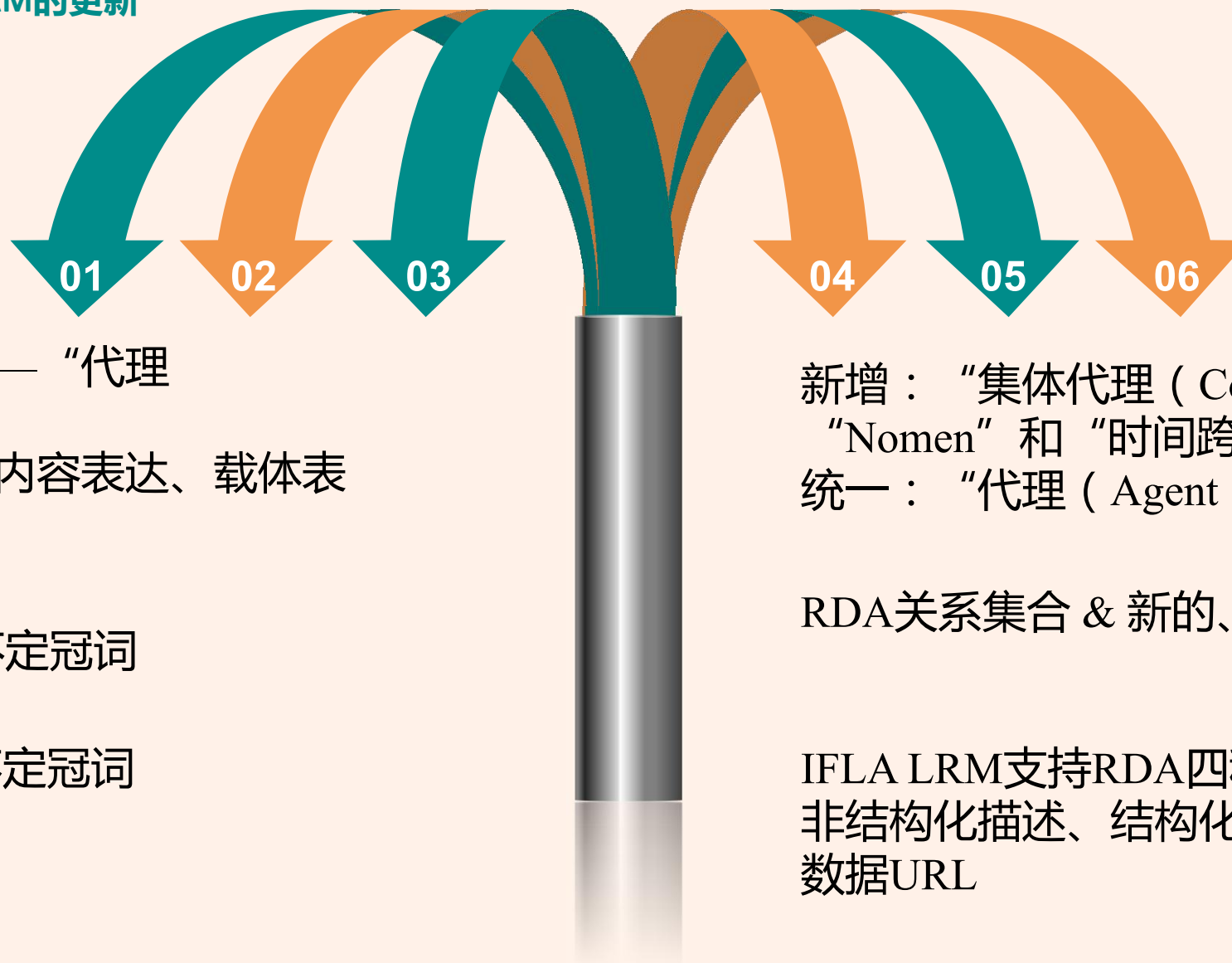
建模基础：
实体-关系（E-R）

建模的出发点：
用户任务



PART 03

RDA针对IFLA LRM的更新



01 个人、家族、团体——“代理
(Agent/Agents)”
“资源”——作品、内容表达、载体表
现、单件

移除英语定冠词和不定冠词

定冠词 “the” ——不定冠词
“a” 或 “an”

04 新增：“集体代理 (Collective Agent) ” 、
“Nomen” 和 “时间跨度 (Time-span) ” ；
统一：“代理 (Agent) ” 和 “地点 (Place) ”

05 RDA关系集合 & 新的、专门的关系指示符

06 IFLA LRM支持RDA四种识别实体的路径：
非结构化描述、结构化描述、标识符、关联
数据URL



PART
04

NLC PS (FLR)更新机制探讨





PART
04

NLC PS (FLR)更新机制探讨

搭建更新和维护的专业化平台

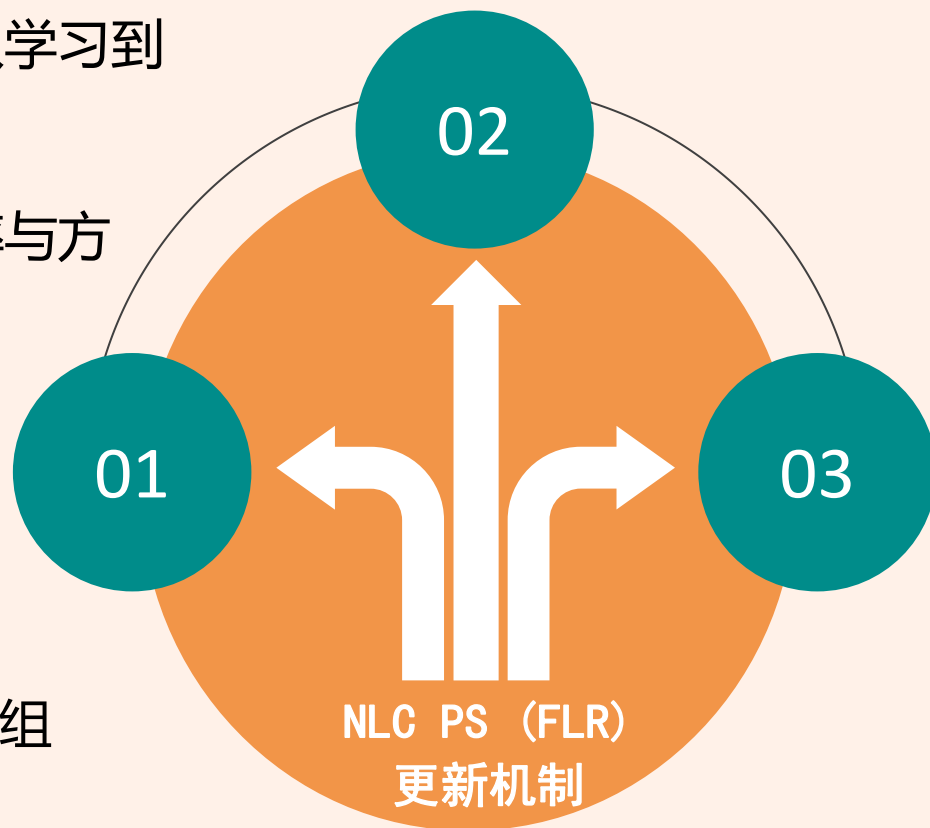
NLC PS (FLR)工具包——从学习到
实践的完整链条式服务
保持与RDA一致的更新频率与方
式——小改动&大修订

设立负责NLC PS (FLR) 更新和维护的专门机构

由下至上

国家图书馆外文采编部项目组

国家图书馆编目工作委员会



开辟征集各方意见建议的渠道

开辟留言渠道

保持稳定的更新频率



感谢聆听，批评指导

THANK YOU TO LISTEN TO CRITICISM GUIDANCE

